

AGENDA ITEM

5.2

PLANNING, PERFORMANCE & FINANCE COMMITTEE
ORGANISATIONAL RISK REGISTER

Date of meeting	22/6/2021
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FOI Status	PUBLIC
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If closed please indicate reason	Not applicable – Public Meeting
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Prepared by	Cally Hamblyn, Assistant Director of Governance & Risk
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Presented by	Georgina Galletly, Director of Corporate Governance
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Approving Executive Sponsor	Director of Corporate Governance
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Report purpose	FOR REVIEW & APPROVAL
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Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)

Committee/Group/Individuals	Date	Outcome
Service, Function and Executive Formal Review	June 2021	RISKS REVIEWED
Management Board	16.6.2021	RISKS REVIEWED AND MANAGEMENT SIGN OFF RECEIVED

ACRONYMS

CSGs	Clinical Service Groups
ILG's	Integrated Locality Groups

1. SITUATION/BACKGROUND

- 1.1 The purpose of this report is to present the high level organisational risks included on the Organisational Risk Register which have been assigned to the Committee, and highlight the management actions being taken to manage or mitigate these high level risks.
- 1.2 The report should be considered in the context that risks within the organisation are still undergoing a robust review and therefore the organisational risk register remains a work in progress and activity continues to ensure a consistency of approach to the quantification of risk across the Health Board.

2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

- 2.2 The following progress has been made since the last report:
- The ILGs are continuing to work to both rationalise and standardise the Clinical Service Group risk registers, the pace of this activity has been impacted by the operational pressures in response to Covid-19, however, activity has resumed with the target of October 2021 for all risks held on the Datix system to have been reviewed.
 - The monthly risk management awareness sessions held virtually via Teams are being well received, 34 colleagues joined the session on the 13th May 2021 and a large number already booked on the forthcoming sessions in June and July 2021.
 - Risks on the organisational risk register have been updated as indicated in red.
 - A schedule outlining the risk review dates has been shared with Business Managers and ILG Heads of Quality & Safety to aid the timely review of risks reviewed monthly by the Management Board for escalation/de-escalation for the Organisational Risk Register. This has been mapped to align with the request to report to Committee meetings prior to Board.
 - Risk Management Milestones updated to align with the Targeted Intervention programme.

3 KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

3.1 NEW RISKS

No new risks added which are assigned to this Committee this period.

3.2 CHANGES TO RISK RATING

a) Risks where the risk rating INCREASED during the period

No risks assigned to this Committee were increased in terms of risk rating this period.

b) Risks where the risk rating **DECREASED** during the period

No risks assigned to this Committee were decreased in terms of risk rating this period.

3.3 **CLOSED RISKS**

Chief Operating Officer / All Localities Risks

1. Datix ID 4070 – Failure to achieve the 4 and 12 hour emergency (A&E) waiting times targets has been closed. The reason for closure is that the risk has been amalgamated into a reframed risk – Datix ID 4458 – Failure to deliver Emergency Department Metrics (including 15 minute handover and 4 and 12 hour breaches) which is retained on the Organisational Risk Register.

Rationale for closure is captured in Appendix 1.

3.4 **Organisational Risk Register - Visual Heat Map by Datix Risk ID (Risks rated 15 and above):**

Consequence	5					
	4				4149	4060 4629
	3					
	2					
	1					
CxL		1	2	3	4	5
Likelihood						

4. IMPACT ASSESSMENT

Quality/Safety/Patient Experience implications	Yes (Please see detail below)
	Aim to mitigate risks to patients and staff
Related Health and Care standard(s)	Governance, Leadership and Accountability
	All Health and Care Standards are included
Equality impact assessment completed	No (Include further detail below)
Legal implications / impact	There are no specific legal implications related to the activity outlined in this report.
Resource (Capital/Revenue £/Workforce) implications / Impact	There is no direct impact on resources as a result of the activity outlined in this report.
Link to Strategic Well-being Objectives	Provide high quality, evidence based, and accessible care



5. RECOMMENDATION

5.1 The Committee is asked to:

- **Review** the Planning, Performance & Finance risks escalated to the Organisational Risk Register at Appendix 1.
- **Consider** whether the Committee can seek assurance from the report that all that can be done is being done to mitigate the risks.