



AGENDA ITEM

5.4.2

AUDIT & RISK COMMITTEE

CTMUHB STRUCTURED ASSESSMENT REPORT

Date of meeting	19 th April 2023
FOI Status	Open/Public
If closed please indicate reason	Not Applicable - Public Report
Prepared by	Cally Hamblyn, Assistant Director of Governance & Risk
Presented by	Cally Hamblyn, Assistant Director of Governance & Risk Sara Utley, Audit Wales Darren Griffiths, Audit Wales
Approving Executive Sponsor	Paul Mears, Chief Executive
Report purpose	FOR NOTING

Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)

Committee/Group/Individuals	Date	Outcome
Board Members via email	February 2023	FOR NOTING
Executive Leadership Group	13 th March 2023	ENDORSE IN TERMS OF FACTUAL ACCURACY, TONE AND BALANCE
Health Board Meeting	30 th March 2023	NOTED THE REPORT

ACRONYMS

CTMUHB | Cwm Taf Morgannwg University Health Board



1. SITUATION/BACKGROUND

- 1.1 Audit Wales undertake a structured assessment within the Health Board on an annual basis. The structured assessment work is designed to help discharge the Auditor General's statutory requirement to be satisfied that the Health Board has made proper arrangements to secure economy, efficiency, and effectiveness in their use of resources under Section 61 of the Public Audit (Wales) Act 2004.
- 1.2 The Structured Assessment Report was received at the Board meeting on the 30th March 2023. At the time of presentation, the Organisational Response was not finalised and was therefore not considered by the Board. The Organisational Response is captured within the report presented to this Committee.

2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

- 2.1 On receipt of the draft report, the Executive Leadership Team had the opportunity to provide feedback to Audit Wales on the factual accuracy, balance and tone of the Structured Assessment Report and any amendments were finalised under mutual agreement.
- 2.2 The report at Appendix 1 sets out the findings from the Auditor General's 2022 structured assessment work at CTMUHB. The Organisational Response highlights the Health Boards response to the findings and the improvement action it plans to take to address the recommendations.
- 2.3 Audit Wales have not reviewed the Health Board's quality governance arrangements as part of this work as this is subject to a separate review during 2023 of CTMUHB's progress in addressing the recommendations of the 2019 Joint Review of Quality Governance Arrangements, in conjunction with Healthcare Inspectorate Wales.

3. KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

- 3.1 The 'Key Messages' and 'Recommendations' are captured on pages 4-8 of the report.
- 3.2 The recommendations will also be added to the Audit Tracker, which is routinely monitored by the Audit & Risk Committee through to closure.



4. IMPACT ASSESSMENT

Quality/Safety/Patient Experience implications	Yes (Please see detail below)
	Evidence suggests there is correlation between governance behaviours in an organisation and the level of performance achieved at that same organisation. Therefore ensuring good governance within the Health Board can support quality care.
Related Health and Care standard(s)	Governance, Leadership and Accountability
Equality Impact Assessment (EIA) completed - Please note EIAs are required for <u>all</u> new, changed or withdrawn policies and services.	If no, please provide reasons why an EIA was not considered to be required in the box below.
	Not required.
Legal implications / impact	No
Resource (Capital/Revenue £/Workforce) implications / Impact	There is no direct impact on resources as a result of the activity outlined in this report.
Link to Strategic Goals	Improving Care

5. RECOMMENDATION

- 5.1 The Audit & Risk Committee are asked to **NOTE** CTMUHB's Structured Assessment Report 2022 and the Organisational Response to the recommendations.