



AGENDA ITEM

2.2.5

AUDIT & RISK COMMITTEE

DECLARATIONS OF INTERESTS, GIFTS, SPONSORSHIP, HOSPITALITY & HONORARIA

Date of meeting	19/04/2023
FOI Status	Open/Public
If closed please indicate reason	Not Applicable - Public Report
Prepared by	Emma Walters, Corporate Governance Manager
Presented by	Cally Hamblyn, Assistant Director of Governance & Risk
Approving Executive Sponsor	Chief Executive
Report purpose	FOR NOTING

Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)

Committee/Group/Individuals	Date	Outcome
Executive Leadership Group		Reviewed

ACRONYMS

DOI	Declarations of Interest
-----	--------------------------

1. SITUATION/BACKGROUND

1.1 In accordance with the requirements of the Health Board's Standing Orders and Standards of behaviour Framework Policy, a report is required to be received by the Audit & Risk Committee as a standing agenda item which will detail the Declarations of Interest, Gifts, Hospitality and Sponsorship etc. activities approved within each Health Board. A similar report will also be considered by the Strategic Leadership Group.

2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

- 2.1 The form in the Standards of Behaviour Framework policy or online via SharePoint should be used to declare interests and/or seek approval for receiving hospitality/sponsorship/gifts and this should help or prevent the omission of crucial information that the authorising officer requires making an informed decision on approval or rejection. The authorised signatories should also be scrutinising the declarations prior to authorisation, in order to ensure the correct information is captured on the form before it is submitted to the Corporate Governance Team.
- 2.2 In early May 2022 an email to all Board Members requesting their annual Declarations of Interest was sent. As at July 2022, all returns had been returned and approved. Board Members compliance – 100%. Since the last report, in year amendments have been received by Board Members which have been reflected in red in Appendix 1. This exercise will be repeated in May 2023 for the 2023-2024 reporting period.
- 2.3 An email was issued in May to 547 members of staff (included all Consultants, Very Senior Managers, Board Members and Staff at Band 8d and Band 9). To date we have received 208 returns equalling a 38.1% return rate. It should be noted that some of the returns received in this period may have been received from individuals that did not receive the targeted email as there is an onus on all employees to declare interests. This exercise will be repeated in May 2023 for the 2023-2024 reporting period.
- 2.4 In summary there have been:
- 13 new declarations of interest received and entered on the Declarations of Interest Register for the period 1 December 2022 – 31 March 2023.
 - 3 new entries on the Gifts, Hospitality and Sponsorship Register.
- 2.5 The appendices to this report include the new entries received up to the 31 March 2023 as follows:
- Appendix 1 – Declarations of Interest received 1 December 2022 – 31 March 2023.
 - Appendix 2 – Gifts, Hospitality and Sponsorship Declarations received 1 December 2022 – 31 March 2023.



- 2.6 The Declaration of Interest Register and Gift Hospitality and Sponsorship Register for the financial year 2022-2023 is available upon request.
- 2.7 The advice from the Interim Head of Information Governance is any information published on the register, which is then published in the public domain, excludes first and last names and includes job titles only. This has been actioned in terms of the appendices to this report.

3. KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

- 3.1 Please refer to the register for the Declarations of Interest included at Appendix 1 and the Gifts, Hospitality and Sponsorship register at Appendix 2.

4. IMPACT ASSESSMENT

Quality/Safety/Patient Experience implications	Yes (Please see detail below)
	The Register and Declaration of Interests is the method by which the Health Board safeguards against conflict or potential conflict of interest where private interests and public duties of members of staff do not concur. The Health Board must be impartial and honest in the conduct of its business and must ensure that employees remain beyond suspicion at all times.
Related Health and Care standard(s)	Governance, Leadership and Accountability
Equality Impact Assessment (EIA) completed - Please note EIAs are required for <u>all</u> new, changed or withdrawn policies and services.	No (Include further detail below)
	If no, please provide reasons why an EIA was not considered required in the box below. Not required.
Legal implications / impact	There are no specific legal implications related to the activity outlined in this report.
Resource (Capital/Revenue £/Workforce) implications / Impact	There is no direct impact on resources as a result of the activity outlined in this report.
Link to Strategic Goals	Improving Care

5. RECOMMENDATION

- 5.1 The report is open to the Audit & Risk Committee are asked to:
- **REVIEW** and to examine any entries on the register in full.