

## Audit and Risk Committee Update – Cwm Taf Morgannwg University Health Board

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# Audit and Risk Committee Update

## About this document

- 1 This document provides the Audit and Risk Committee with an update on current and planned Audit Wales work. Accounts and performance audit work are considered, and information is also provided on the Auditor General's wider programme of national value-for-money examinations and the work of our Good Practice Exchange (GPX).

## Financial audit update

- 2 **Exhibit 1** summarises the recent and current work.

### Exhibit 1 – Accounts audit work

Area of work	Current status
Audit of the Health Board's 2021-22 Performance Report, Accountability Report and Financial Statements	We are currently undertaking our audit planning and interim testing. The Health Board is required to provide us with the draft financial statements on 29 April 2022; and the draft performance report and accountability report on 6 May. These dates are the Welsh Government's deadlines.

## Performance audit update

- 3 The following tables set out the performance audit work included in our current and previous Audit Plans, summarising:
  - completed work since the last Audit and Risk Committee update (**Exhibit 2**);
  - work that is currently underway (**Exhibit 3**); and
  - planned work not yet started or revised (**Exhibit 4**).

## Exhibit 2 – Work completed

Area of work	Considered by Audit and Risk Committee
2022 Audit Plan	To be considered in April 2022

## Exhibit 3 – Work currently underway

Topic and relevant Executive Lead	Focus of the work	Current status and Audit and Risk Committee consideration
<p>Orthopaedic services – follow up</p> <p>Executive Lead – Director of Operations</p>	<p>This review will examine the progress made in response to our 2015 recommendations. The findings from this work will inform the recovery planning discussions that are starting to take place locally and help identify where there are opportunities to do things differently as the service looks to tackle the significant elective backlog challenges.</p> <p>Our findings will be summarised into a single national report with supplementary outputs setting out the local position for each health board.</p>	<p>Current status: Date of publication realigned with anticipated publication date of national planned care work</p> <p>Planned date for consideration: July 2022</p>
<p>Review of the commissioning and contracting arrangements post Bridgend boundary change</p>	<p>This work will examine the robustness of the arrangements for overseeing and managing the contractual agreements established following the Bridgend service transition in 2019. The work will also consider the programme for service disaggregation (for relevant services), and whether the</p>	<p>Report in draft</p> <p>Planned date for consideration: July 2022</p>

Topic and relevant Executive Lead	Focus of the work	Current status and Audit and Risk Committee consideration
Executive Lead – Director of Strategy/Director of Finance	arrangements support future regional service models currently being explored by the organisations.	
Cwm Taf Morgannwg Health and Social Care Partnership  Executive Lead – Director of Strategy and Transformation	The review will examine how the Transformation Leadership Programme Board arrangements are supporting the four bodies (Cwm Taf Morgannwg University Health Board, Rhondda Cynon Taf County Borough Council, Merthyr Tydfil County Borough Council and Bridgend County Borough Council) to develop effective and sustainable approaches to regional working.	Fieldwork

#### Exhibit 4 – Planned work not yet started or revised

Topic and relevant Executive Lead	Focus of the work	Current status and Audit and Risk Committee consideration
Review of Unscheduled Care Executive Lead – Director of Operations	This work will examine different aspects of the unscheduled care system and will include analysis of national data sets to present a high-level picture of how the unscheduled care system is currently working. Once completed, we will use this data analysis to determine which aspects of the unscheduled care system to review in more detail.	Current status: Whole system commentary and data analysis currently being completed. Further work not yet started.  Date for consideration to be confirmed
Local work 2022	The precise focus of this work is still to be determined however, it will be a follow up of the Joint Review Report from 2019. Which we are proposing to be completing in September 2022 in partnership with Healthcare Inspectorate Wales.	Date for consideration to be confirmed

## Good Practice events and products

- 4 In addition to the audit work set out above, we continue to seek opportunities for finding and sharing good practice from all-Wales audit work through our forward planning, programme design and good practice research.
- 5 There have been no Good Practice Exchange (GPX) events since we last reported to the Committee in February 2022. Details of future events are available on the [GPX website](#).

## NHS-related national studies and related products

- 6 The Audit Committee may also be interested in the Auditor General's wider programme of national value for money studies, some of which focus on the NHS and pan-public-sector topics. These studies are typically funded through the Welsh Consolidated Fund and are presented to the Public Administration and Public Accounts Committee to support its scrutiny of public expenditure. We have not published any reports since we last reported to the Committee in February 2022.
- 7 In March 2022, the Auditor General published a consultation inviting views to inform our future audit work programme for 2022-23 and beyond. In particular, it considers topics that may be taken forward through his national value for money examinations and studies and/or through local audit work across multiple NHS, central government, and local government bodies. The closing date for responding to the consultation is 8 April 2022.





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