

## Audit and Risk Committee Update – Cwm Taf Morgannwg University Health Board

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# Audit and Risk Committee Update

## About this document

- 1 This document provides the Audit and Risk Committee with an update on current and planned Audit Wales work. Accounts and performance audit work are considered, and information is also provided on the Auditor General's wider programme of national value-for-money examinations and the work of our Good Practice Exchange (GPX).

## Financial audit update

- 2 **Exhibit 1** summarises the recent and current work.

### Exhibit 1 – Accounts audit work

Area of work	Current status
Audit of the Health Board's 2021-22 Performance Report, Accountability Report and Financial Statements	The Auditor General certified the document on 17 June, and it was laid on the Senedd's website later that day. We are due to issue our draft Audit of Accounts Addendum Report.
Audit of the Health Board's 2021-22 Charitable Financial Statements	We are due to issue the 2022 Audit Plan, which the charity's trustee members will consider. The audit of is scheduled to start around November, pending receipt of the draft financial statements. The Charity Commission's deadline for the certified financial statements is 31 January 2023.

## Performance audit update

- 3 The following tables set out the performance audit work included in our current and previous Audit Plans, summarising:
  - completed work since the last Audit and Risk Committee update (**Exhibit 2**);
  - work that is currently underway (**Exhibit 3**); and
  - planned work not yet started or revised (**Exhibit 4**).

## Exhibit 2 – Work completed

Area of work	Considered by Audit and Risk Committee
2022 Audit Plan	April 2022

## Exhibit 3 – Work currently underway

Topic and relevant Executive Lead	Focus of the work	Current status and Audit and Risk Committee consideration
<p>Orthopaedic services – follow up</p> <p>Executive Lead – Director of Operations</p>	<p>This review will examine the progress made in response to our 2015 recommendations. The findings from this work will inform the recovery planning discussions that are starting to take place locally and help identify where there are opportunities to do things differently as the service looks to tackle the significant elective backlog challenges.</p> <p>Our findings will be summarised into a single national report with supplementary outputs setting out the local position for each health board.</p>	<p>Date of publication realigned with anticipated publication date of national planned care work</p>
<p>Review of the commissioning and contracting arrangements post Bridgend boundary change</p>	<p>This work will examine the robustness of the arrangements for overseeing and managing the contractual agreements established following the Bridgend service transition in 2019. The work will also consider the programme for service disaggregation (for relevant services), and whether the</p>	<p>Report currently in draft</p>

Topic and relevant Executive Lead	Focus of the work	Current status and Audit and Risk Committee consideration
Executive Lead – Director of Strategy/Director of Finance	arrangements support future regional service models currently being explored by the organisations.	
Cwm Taf Morgannwg Health and Social Care Partnership  Executive Lead – Director of Strategy and Transformation	The review will examine how the Transformation Leadership Programme Board arrangements are supporting the four bodies (Cwm Taf Morgannwg University Health Board, Rhondda Cynon Taf County Borough Council, Merthyr Tydfil County Borough Council and Bridgend County Borough Council) to develop effective and sustainable approaches to regional working.	Report in clearance with Health Board.
Structured Assessment 2022	The structured assessment work will build on the baseline governance review by assessing the corporate arrangements in place at the SHA in relation to: <ul style="list-style-type: none"> <li>• Governance and leadership.</li> <li>• Financial management.</li> <li>• Strategic planning, and</li> <li>• Managing the workforce, digital, resources, estates, and other physical assets.</li> </ul>	Fieldwork in progress.

#### Exhibit 4 – Planned work not yet started or revised

Topic and relevant Executive Lead	Focus of the work	Current status and Audit and Risk Committee consideration
<p>Review of Unscheduled Care</p> <p>Executive Lead – Director of Operations</p>	<p>This work will examine different aspects of the unscheduled care system and will include analysis of national data sets to present a high-level picture of how the unscheduled care system is currently working. Once completed, we will use this data analysis to determine which aspects of the unscheduled care system to review in more detail.</p>	<p><a href="#">Blog and data tool</a> published in April 2022</p> <p>Project brief to commence detailed work issued in July 2022</p>
<p>Local work 2022 (Joint Review Follow up)</p>	<p>The precise focus of this work is still to be determined however, it will be a follow up of the Joint Review Report from 2019. Which we are proposing to be commencing in September 2022 in partnership with Healthcare Inspectorate Wales.</p>	<p>Date for consideration to be confirmed</p>
<p>All-Wales thematic on workforce planning arrangements</p>	<p>This work will examine the workforce risks that NHS bodies are experiencing currently and are likely to experience in the future. It will examine how local and national workforce planning activities are being taken forward to manage those risks and address short-, medium- and longer-term workforce needs. The work will be tailored to align to the responsibilities of individual NHS bodies in respect of workforce planning.</p>	<p>Date for consideration to be confirmed</p>

## Good Practice events and products

- 4 In addition to the audit work set out above, we continue to seek opportunities for finding and sharing good practice from all-Wales audit work through our forward planning, programme design and good practice research.
- 5 There have been no Good Practice Exchange (GPX) events since we last reported to the Committee in April 2022. Details of future events are available on the [GPX website](#).

## NHS-related national studies and related products

- 6 The Audit Committee may also be interested in the Auditor General's wider programme of national value for money studies, some of which focus on the NHS and pan-public-sector topics. These studies are typically funded through the Welsh Consolidated Fund and are presented to the Public Administration and Public Accounts Committee to support its scrutiny of public expenditure.
- 7 The Audit Committee might also wish to be sighted of the recently published Audit Wales strategy, **Assure, Explain, Inspire: Our Strategy 2022-27**. This strategy sets out our 5-year vision to drive improvement and support Welsh public Services as they adapt to the challenges and opportunities of a changing world.
- 8 **Exhibit 5** provides information on the NHS-related or relevant national studies published since our last Committee Update. It also includes all-Wales summaries of work undertaken locally in the NHS.

### Exhibit 5 – NHS-related or relevant studies and all-Wales summary reports

Title	Publication Date
<a href="#"><u>Public Sector Readiness for Net Zero Carbon by 2030</u></a>	July 2022
<a href="#"><u>The Welsh Community Care Information System update</u></a>	July 2022
<a href="#"><u>Assure, Explain, Inspire: Audit Wales Strategy 2022-27</u></a>	June 2022
<a href="#"><u>Tackling the Planned Care Backlog in Wales</u></a>	May 2022
<a href="#"><u>Unscheduled Care</u></a>	April 2022



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Rydym yn croesawu gohebiaeth a galwadau ffôn yn Gymraeg a Saesneg.