

## **Cwm Taf Morgannwg University Health Board**

### **Internal Audit SUMMARY PROGRESS REPORT**

**Audit & Risk Committee - June 2021**

**NHS Wales Shared Services Partnership  
Audit and Assurance Services**

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**Please note:**

This audit progress report has been prepared for internal use only. Audit & Assurance Services reports are prepared, in accordance with the Internal Audit Charter and the Annual Plan, approved by the Audit & Risk Committee.

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## 1. Introduction

- 1.1. This progress report provides the Audit & Risk Committee (the 'committee') with the current position regarding the work undertaken by Internal Audit up to the submission of papers for the committee on 1 June 2021.
- 1.2. The report includes the progress made to date against individual assignments, along with relevant updates regarding the delivery of our plans and the 2020/21 annual report.

## 2. Summary of work

- 2.1 Since the April meeting of the committee, across the Health Board and its hosted bodies, three reports have been finalised, two reports have been issued in draft, and we have ongoing fieldwork in four review areas.
- 2.2 The reports that we have issued, along with the allocated assurance rating, are set out in the table below. The full versions of these reports are included in the committee's papers as separate items.

Reports	Assurance rating
EASC - Recruitment	Reasonable
Digital response to Covid-19 pandemic	Reasonable
Continuous improvement in response to targeted intervention	Reasonable
Clinical audit [Draft]	Reasonable
Facilities directorate - workforce arrangements follow up [Draft]	Reasonable

## 3. 2020/21 Internal Audit plan

- 3.1 We have issued our final annual report for 2020/21, which includes the Head of Internal Audit opinion. The draft annual report, which was discussed at the May committee, included a reasonable assurance overall opinion, and this remains the same for the final annual report. Work is still ongoing for two of the planned reviews and the outcome of these will be reported as part of our 2021/22 work.

#### **4. 2021/22 Internal Audit plan**

- 4.1 The status of the reviews planned to start in quarter 1 is set out in table 2 below.
- 4.2 Following recent discussions with the Director of Finance an additional review has been proposed relating to Continuing Health Care. If the committee agrees we will work with the Health Board to agree a start date and take the work forward.
- 4.3 In addition, we have agreed another additional review with WHSSC. This work will focus on the all Wales Positron Emission Tomography (PET) Service Programme Business Case. This review has been discussed with the Chair of the committee.

**Table 1: Status of 2020/21 reviews**

Assignment	Status	Assurance	Planned Timing	Notes
Continuous improvement in response to targeted intervention	Final	Reasonable	Q3	-
Digital response to Covid-19	Final	Reasonable	Q4	-
Clinical audit	Draft	Reasonable	Q4	Draft report issued 21.05.21
Facilities directorate – workforce follow up	Draft	Reasonable	Q3	Draft report issued 20.05.21
Management of capital – Sunnyside project	WIP	-	<del>Q2</del> -Q4	Progress has been delayed by sickness in CTM team. Will be reported in 21/22 annual report.
Fire safety management	WIP	-	<del>Q2</del> Q4	Start had been delayed due to pandemic and sickness in CTM team. Will be reported in 21/22 annual report.

**Table 2: Status of hosted bodies 2020/21 reviews**

Assignment	Status	Assurance	Planned Timing	Notes
EASC – Recruitment review	Final	Reasonable	Q4	-

**Table 3: 2021/22 reviews**

<b>Assignment</b>	<b>Status</b>	<b>Assurance</b>	<b>Notes</b>
Annual Governance Statement	Complete	N/A	No formal report.
Vaccinations	WIP	-	Fieldwork on going.
WHSSC – Cancer & Blood services	WIP	-	Fieldwork on going.
Welsh Language Act	Planning	-	Had initial planning meeting 20.05.21.
Concerns	Planning	-	Had planning meeting 04.05.21.
Recruitment and retention of staff	Planning	-	Had initial planning meeting 20.05.21.
Overtime and expenses	Planning	-	Had initial planning meeting 20.05.21.