

Audit & Risk Committee Part 1

Mon 08 February 2021, 13:00 - 16:00

Virtually via Microsoft Teams

Agenda

13:00 - 13:00 **1. PRELIMINARY MATTERS**

0 min

Information *Ian Wells*

1.1. Welcome & Introductions

1.2. Apologies for Absence

1.3. Declarations of Interest

13:00 - 13:00 **2. CONSENT AGENDA**

0 min

2.1. FOR APPROVAL

2.1.1. Unconfirmed Minutes of the Meeting held on 14 December 2020

Decision *Ian Wells*

For approval

2.1.2. Audit & Risk Committee Annual Cycle of Business

Decision *Georgina Galletly*

2.1.3. Procurements and Scheme of Delegation Report

Decision *Steve Webster*

2.1.4. End of Year Reporting Arrangements

Decision *Georgina Galletly*

2.2. FOR NOTING

2.2.1. Action Log

Information *Ian Wells*

2.2.2. Audit & Risk Committee Forward Work Programme

Information *Georgina Galletly*

2.2.3. Declarations of Interest Register and Gifts and Hospitality Report

Information *Georgina Galletly*

2.2.4. Losses & Special Payments Report

13:00 - 13:00
0 min

3. MAIN AGENDA

3.1. Matters Arising not Considered within the Action Log

13:00 - 13:00
0 min

4. INTERNAL AUDIT

4.1. Internal Audit Progress Report

Discussion *Paul Dalton*

4.2. Internal Audit Review - Risk Management

Discussion *Paul Dalton*

4.3. Internal Audit Review - IT Server Management

Discussion *Paul Dalton*

4.4. Internal Audit Review - IT Assessment

Discussion *Paul Dalton*

4.5. Internal Audit Review - CAMHS – Management arrangements

Discussion *Paul Dalton*

4.6. Internal Audit Review CAMHS – Compliance arrangements

Discussion *Paul Dalton*

4.7. Internal Audit Review Data Quality – Patient Pathway Appointment Management – Follow Up

Discussion *Paul Dalton*

13:00 - 13:00
0 min

5. EXTERNAL AUDIT

5.1. Audit Wales - Audit & Risk Committee Update

Discussion *Sara Utley*

5.2. Audit Wales Annual Audit Plan 2021

Discussion *Sara Utley*

5.3. Doing It Differently, Doing It Right - Governance in the NHS during the COVID-19 Crisis

Discussion *Sara Utley*

13:00 - 13:00
0 min

6. INTERNAL CONTROL AND RISK MANAGEMENT

6.1. Update on Welsh Risk Pool Reimbursements/Claims Management Processes

Discussion *Greg Dix*

6.2. Organisational Risk Register

Discussion *Georgina Galletly*

6.3. Audit Recommendations Tracker

Discussion *Georgina Galletly*

6.4. Local Counter Fraud Update

Discussion *Matthew Evans*

6.5. Consultant Job Planning - Six Monthly Update

Discussion *Lyons Nick*

6.6. Clinical Audit Quarterly Update

Discussion *Lyons Nick*

6.7. Internal Audit Review into Medical & Dental Rostering – Update report on progress

Discussion *Lyons Nick*

6.8. Update on Balance Sheet Planning - Verbal Update

Discussion *Steve Webster*

6.9. Audit & Risk Committee - Outcome from the Committee Effectiveness Survey - To Follow

Discussion *Georgina Galletly*

13:00 - 13:00
0 min

7. ANY OTHER BUSINESS

13:00 - 13:00
0 min

8. DATE AND TIME OF NEXT MEETING

13:00 - 13:00
0 min

9. CLOSE OF PART 1