

Summary

The information presented covers the current caseload of the Counter Fraud Team.

Cases being actively investigated by the Counter Fraud Team are listed in the [Open Cases](#) table.

Cases in which Counter Fraud Team have concluded their investigation but have third party involvement, such as ongoing internal investigation or investigation by professional body, are listed within the [Pending Cases](#) table. These cases remain open on the Counter Fraud Case Management system only for the purposes of recording these outcomes for intelligence purposes.

As cases are closed on the Counter Fraud Case Management system a separate table for [Closed Cases](#) will be presented to the Committee to allow review of final outcome of cases.

Case Status		
Cases Under Investigation	Cases Pending 3rd Party Outcome	Cases Closed 2021/22
14	5	5
Case Rates		
Referrals Received 2021/22	Cases Under Investigation for Over 12 Months	
11	3	
Sanctions/Outcomes		
Criminal Sanctions	Civil Sanctions (Inc. Financial Recovery)	Disciplinary Sanctions
0	1	0

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Open Cases			
Reference Number	Date Opened	Allegation	Status
WARO/19/00050	25/04/2019	Alleged false representations made in respect of the completion and presentation of prescriptions	An MG3 report to Crown Prosecutor has been sent to CPS for advice.
WARO/20/00032	24/01/2020	Alleged theft of petty cash/False representation of employment history and qualifications	<p>Initial allegation of theft of petty cash was investigated by South Wales Police who deemed insufficient evidence to proceed with any criminal action.</p> <p>An internal investigation was ongoing relating to disciplinary matters. Further information came to light relating to false representations relating to employment application including potential falsification of employment history and qualifications. A disciplinary hearing has been arranged.</p> <p>Following wide ranging investigation with support from Dyfed Powys Police and other Public Sector and NHS organisations an advice file has been submitted to CPS for guidance.</p>
WARO/20/00084	11/09/2020	Alleged overpayment of salary	Overpayment of £8879.31. Financial Investigative support has resulted in money being unable to be located in bank accounts. A file of evidence has been sent to CPS for consideration for prosecution.

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Reference Number	Date Opened	Allegation	Status
INV/21/00041	12/04/2021	Overpayment of Salary	After termination date was entered incorrectly by inputting 2020 instead of 2019 resulting in error in inputting termination information on the system. The subject continued to be paid for 12 months as a result with overpayment totalling Net £8336.70. Subject has been invited for interview under caution.
INV/21/00231	20/09/2021	Charitable Funds	Allegation of inappropriate use of donations made to a Ward by one of the Ward Managers. Investigation has gathered evidence of financial records linked to the Charitable Funds and donations made to the Ward. This is being assessed in detail to attempt to establish missing funds/goods. This is complicated by poor record keeping.
INV/21/00232	20/09/2021	Prescription Fraud	Allegation that a former Consultant and current Registrar involved in the collusion of prescription fraud relating to prescribing to the Consultant. Investigation continuing with input from the Health Board's Clinical Governance Lead.

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Reference Number	Date Opened	Allegation	Status
INV/21/00233	20/09/2021	Corruption	<p>Allegation that members of staff Health Board Service across The Health Board are receiving cash payment for duties.</p> <p>An external investigation established practices known to managers of small gifts of cash left for staff. This feeds back to previous outdated practices in this area.</p> <p>The LCFS has reviewed the situation and is able to evidence to criminal standard one incident of cash payment. This case does not give rise to a realistic prospect of conviction. A comprehensive counter fraud risk assessment has been undertaken in this area and an action plan agreed with Managers to address risks established.</p>
INV/21/00307	28/10/2021	False Information Provided During Recruitment Process	<p>Anonymous letter received alleging that false information provided regarding employment history during recruitment process including prior experience.</p> <p>Enquiries have established that previous employment history provided within the application form by subject is truthful. The investigation has been unable to corroborate allegations made. This case is unlikely to result in realistic prospect of conviction.</p> <p>Findings will be shared with Workforce colleagues who also received a copy of the anonymous letter.</p>

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Open Cases			
Reference Number	Date Opened	Allegation	Status
INV/21/00311	31/10/2021	Overpayment of Salary	Student Nurse Case. The subject has been paid a salary in error of £16,000 gross after leaving the Health Board. To be investigated by LCFS at the Health Board with early consultation with FI at CFS Wales.
INV/21/00312	01/11/2021	Overpayment of Salary	Student Nurse Case. The subject has been overpaid almost £15,000 in error after leaving the Health Board, referral to be investigated by the Health Board LCFS with early consultation with FI at CFS Wales.
INV/21/00313	01/11/2021	Overpayment of Salary	The subject has been paid £8397.39 in error after leaving their post at the Health Board and has made no effort to contact the Health Board, issue to be investigated by LCFS with early consultation with FI at CFS Wales.
INV/21/00321	03/11/2021	Overpayment of Salary	The subject has been overpaid in error for several months after reducing their hours from 24 hours to 12 hours per week and has made no effort to contact the Health Board or Payroll. issue to be investigated by LCFS at the Health Board with early consultation with FI at CFS Wales.

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Open Cases			
Reference Number	Date Opened	Allegation	Status
INV/21/00337	08/11/2021	Overpayment of Salary	<p>Student Nurse Case.</p> <p>The subject has been overpaid around £14,000 after leaving their employment at the Health Board. Issue to be investigated by LCFS at the Health Board with early consultation with FI at CFS Wales.</p>
INV/21/00339	09/11/2021	Overpayment of Salary	<p>Student Nurse Case.</p> <p>The subject has been paid a salary for a period of several months after leaving a fixed term NHS contract. Issue to be investigated by LCFS at CTM UHB with early consultation with FI at CFS Wales.</p>

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Pending Closure			
Reference Number	Date Opened	Allegation	Status
WARO/17/00114	08/06/2017	Alleged submission of false or misleading overtime claims	<p>Criminal investigations into this matter concluded in August 2019. The CPS concluded that there was insufficient evidence of the required standard to progress to prosecution.</p> <p>The subject was dismissed from employment with the Health Board following internal process.</p> <p>NMC investigations remain ongoing. The LCFS continue to support this process as required.</p> <p>This case will remain open until the NMC investigation has been concluded.</p>
WARO/17/00162	19/10/2017	Alleged to be undertaking alternative employment whilst on sick leave without appropriate authority	<p>Criminal investigations into this matter concluded in November 2019. There was insufficient evidence of the required standard to progress to prosecution.</p> <p>Following a Nursing & Midwifery Council Fitness to Practice Committee meeting, the subject's Interim Suspension Order remains in place. A full hearing is to be undertaken by the NMC in relation to this matter.</p> <p>The LCFS continue to support this process as required.</p>

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Reference Number	Date Opened	Allegation	Status
WARO/18/00055	06/12/2017	Alleged false representations made in respect of the completion and presentation of prescriptions	<p>Criminal investigations into this matter concluded in February 2020, whereupon the subject entered a guilty plea to a charge of fraud by abuse of position and received a suspended sentence and unpaid work order.</p> <p>All financial losses to the Health Board have been recovered.</p> <p>GMC investigations remain ongoing. Interim conditions have been put in place. The LCFS continue to support this process as required.</p>
WARO/19/00091	16/05/2019	Alleged submission of false or misleading information in respect of hospital travel cost claims	<p>Enquiries have established that the provable loss is £105. Police National Computer checks showed warning markers for subject of suffering mental health issues including suicidal. Assessed as not in public interest to proceed with criminal case and loss to be pursued as civil recovery only. Process of recovery underway.</p>

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Reference Number	Date Opened	Allegation	Status
WARO/19/00138	23/10/2019	Alleged false representations made in respect of the completion and presentation of prescriptions	<p>Facts of case relate to 2 subjects alleged to have stolen blank prescriptions and patient details during a consultation and subsequently presenting false scripts to access drugs. 1 subject has pleaded guilty presenting scripts and received sanction of 150 hours community service, £310 court costs and £90 victim surcharge. Information from Police was that theft was to be charged and dealt with at Court in February 2021 – it transpired that this had actually been dealt with at the earlier hearing and a subjects convicted of theft and fraud offences at that time.</p> <p>Counter Fraud records will be updated and closure report prepared for this case.</p>
INV/21/00234	20/09/2021	Prescription Fraud	<p>Doctor alleged to have self-prescribed medication outside of work remit. Further allegation of prescribing to family members. Enquiries have established that the Doctor is. The Doctor immediately admitted conduct during discussion stating that they are a recent arrival from European country in which this conduct is standard and accepted practice. No disciplinary action is being undertaken but the Doctor has self-referred to GMC for that Organization to consider conduct. Unlikely to result in criminal conviction based on facts of case, awaiting outcome of GMC assessment.</p>

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Closed Cases			
Reference Number	Date Opened	Allegation	Outcome
WARO/21/00045	30/03/2021	Overpayment of Salary	<p>Subject resigned from employment and continued to be paid for a period of 5 months resulting in overpayment of £6611.08.</p> <p>Evidence established the subject made contact with previous Manager to raise fact they had continued to be paid following 3 months of payments. Payments continued following this contact for a further 3 months. Given contact from subject to Health Board it is difficult to establish dishonesty in this case.</p>