

Cwm Taf Morgannwg University Health Board

Audit & Risk Committee
Internal Audit Progress Report

August 2021

NWSSP Audit and Assurance Services

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Audit and Assurance Services conform with all Public Sector Internal Audit Standards as validated through the external quality assessment undertaken by the Institute of Internal Auditors.

Acknowledgement

NHS Wales Audit & Assurance Services would like to acknowledge the time and co-operation given by management and staff during the course of this review.

Disclaimer notice - please note

This audit report has been prepared for internal use only. Audit & Assurance Services reports are prepared, in accordance with the Service Strategy and Terms of Reference, approved by the Audit & Risk Committee.

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1 Introduction

- 1.1 This progress report provides the Audit & Risk Committee (the 'Committee') with the current position regarding the work undertaken by Internal Audit as at **9 August 2021**. This report provides information on the status of progress of our reviews.
- 1.2 We report the progress made to date against individual assignments along with details regarding the delivery of the plans and any required updates.

2 Reports Issued

- 2.1 Since the June meeting of the Committee six reports have been finalised, two are in draft, and we have ongoing fieldwork in five areas. A summary of the reviews that have been reported is provided below in Table 1.

Table 1 – Summary of reports issued

Assignment	Assurance rating
Clinical audit 20/21	Reasonable
Facilities directorate (workforce arrangements) – follow up 20/21	Reasonable
Management of capital – Sunnyside Health& Wellbeing centre project	Reasonable
Single cancer pathway: data quality and integrity	Limited
Mass vaccination programme	Substantial
WHSSC – Cancer and blood services	Substantial

3 Delivering the Plan

- 3.1 We have completed our programme of work for 2020/21 and have ongoing work for the 2021/22 plan. The detail of the scheduling and progress of the audit work is outlined in the assignment status schedule, which is included at Appendix A.

Table 2: Status of 2020/21 reviews

Assignment	Status	Assurance	Notes
Clinical audit	Final	Reasonable	-
Facilities directorate – workforce follow up	Final	Reasonable	-

Table 3: 2021/22 reviews – planned for Q1 and Q2

Assignment	Status	Assurance	Notes
Annual Governance Statement	Complete	N/A	No formal report.
Management of capital – Sunnyside Health& Wellbeing centre project	Final	Reasonable	-
Vaccinations	Final	Substantial	-
Single cancer pathway: data quality and integrity	Final	Limited	-
Fire safety management	Draft	Limited	Draft report issued 27.07.21
Integrated locality group (ILG)	Draft	Reasonable	Draft report issued 27.07.21
Welsh Language Act	WIP	-	-
Concerns	WIP	-	-

Assignment	Status	Assurance	Notes
CAMHS – follow up	WIP	-	This is the follow up of a limited assurance report that was issued in 2020/21. Focus is on the governance, risk and workforce recommendations made in our directorate review.
Bridgend transfer of IT	WIP	-	Fieldwork on going
Continuing healthcare	WIP	-	Additional review requested by management.
Digital strategy	Planning	-	Brief has been agreed.
Recruitment and retention of staff	Planning	-	Held discussions with management. This will be an advisory review to support management with the implementation of its updated process.
Overtime and expenses	Planning	-	Had initial planning meeting 20.05.21.
Financial systems – budgetary control	Planning	-	Had planning meeting. Brief being drafted.
Innovation and improvement	Planning	-	Meeting with executive lead on 11.08.21
Implementation of the operating model	Planning	-	Have held initial discussion with executive lead.

Table 4: Status of hosted bodies 2021/22 reviews

Assignment	Status	Assurance	Planned Timing	Notes
WHSSC – Cancer & Blood services	Final	Substantial	-	

Assignment	Status	Assurance	Planned Timing	Notes
EASC – governance	Planning	-	Q2	Brief agreed 09.08.21