



AGENDA ITEM

3.2.4 Appendix 5

CTM BOARD

HIGHLIGHT REPORT FROM THE AUDIT AND RISK COMMITTEE

DATE OF MEETING

30/03/2023

PUBLIC OR PRIVATE REPORT

Public

IF PRIVATE PLEASE INDICATE REASON

Not Applicable - Public Report

PREPARED BY

Emma Walters, Corporate Governance Manager

PRESENTED BY

Patsy Roseblade, Independent Member and Chair of the Audit & Risk Committee

EXECUTIVE SPONSOR APPROVED

Chief Executive
Executive Director of Finance

REPORT PURPOSE

NOTING

ACRONYMS

1. INTRODUCTION

1.1 This report had been prepared to provide the Board with details of the key issues considered by the Audit & Risk Committee at its meeting on the 13 February 2023.

1.2 Key highlights from the meeting are reported in section 3.

2. PURPOSE OF THE AUDIT & RISK COMMITTEE

2.1 The Committee will function in accordance with the NHS Audit Committee Handbook as appropriate.

The Committee will also consider issues in respect of the roles and

responsibilities of Committees hosted by the CTMUHB on behalf of NHS Wales as appropriate. These are the Welsh Health Specialised Services Committee and the Emergency Ambulance Services Committee. The meeting will be split into two parts with Cwm Taf Morgannwg CTMUHB business and hosted Committee business discussed and recorded separately.

The purpose of the Committee is to advise and assure the Board on whether effective arrangements are in place – through the design and operation of the Health Board system of risk and assurance – to support it in its decision taking and in discharging the accountabilities for securing the achievement of the Health Board objectives in accordance with the standards of good governance determined for the NHS in Wales.

Where appropriate, the Committee will advise the Board and the Accountable Officer on where, and how, its system of assurance may be strengthened and developed further.

3. HIGHLIGHT REPORT FROM THE LAST COMMITTEE MEETING

ALERT / ESCALATE	<ul style="list-style-type: none"> • There were no items requiring escalation
ADVISE	<ul style="list-style-type: none"> • The Committee Action Log was received and noted. Members requested that a discussion was held with the Chief Operating Officer outside the meeting to identify and agree a more realistic completion date for the production of a closure report in relation to JAG Accreditation; • The Losses and Special Payments Report was received. A discussion was held with the Deputy Director of Nursing in relation to the work being undertaken to improve the timely submission of Learning from Events Reports (LFERs), which was a priority area for the Quality Governance Team to address. Members noted that the position was being reviewed weekly at the Executive Director led patient safety meetings and that the submission of the blank LFER forms had been done so in agreement with the Welsh Risk Pool with their full knowledge and acceptance; • The Procurements and Scheme of Delegation Report was received. Members noted that the Assistant Director of Facilities had been asked to undertake a review of the overall process in relation to Car and Leasing arrangements. Members advised that they were pleased to see the improvement in performance of payment of non NHS invoices but disappointed to see that performance wasn't as strong in relation to payment of NHS invoices; • The Organisational Risk Register was received. Queries were raised against a number of the risks and the Assistant Director of



	<p>Governance & Risk agreed to seek responses to the queries raised outside the meeting;</p> <ul style="list-style-type: none"> • The Audit Recommendations Tracker report was received. Queries were raised against some of the recommendations in relation to content of responses provided and revised target dates which would be raised with lead officers outside the meeting; • The Internal Audit Progress Report was received. Members noted that discussions were ongoing in relation to the programme of work for 2023/2024 and noted the drop in the turnaround times for management responses, which may be as a result of winter pressures; • The Internal Audit Review – Medical Variable Pay was received which had been given a Limited Assurance rating. Members noted that a number of issues had been highlighted within the report which Members found concerning, however, Members felt that the management response provided was comprehensive and acknowledged improvements were required; • The Audit Wales Audit & Risk Committee Update was received. Members noted that the Structured Assessment Review would be issued this week and noted that interviews would shortly be commencing in relation to the Joint Follow Up Review into Quality Governance arrangements; • The Audit Wales Review – Ysbyty Cwm Cynon Minor Injuries Unit report was received. Members noted that four recommendations had been made which had been accepted by Management. Members noted that good progress had been made in relation to the completion of recommendations; • The Audit Wales - Review of Commissioning and Contracting Arrangements (CTM & Swansea Bay) was received and noted. <p>An In Committee session was held immediately following the public session where the following items were received and discussed:</p> <ul style="list-style-type: none"> • Organisational Risk Register – Cyber Risks; • Audit Recommendation Tracker – Cyber Security.
ASSURE	<ul style="list-style-type: none"> • The Local Counter Fraud Report was received. Members recognised the progress being made in case closures and welcomed the way in which the days were being used was tracking much better. Members also acknowledged the performance graphs where it was clearly identified that there had been an uptick in the number of people who were willing to raise potential areas of fraud; • The Internal Audit Review into Wellbeing was received. Members noted that following discussions held at the December meeting, a number of adjustments had been made which had resulted in the review receiving a substantial assurance rating;



	<ul style="list-style-type: none">• The Internal Audit Follow Up Review – Fire Safety was received. Members noted that a reasonable assurance rating had been given following a marked improvement in the completion of recommendations.
INFORM	<ul style="list-style-type: none">• The Minutes of the In Committee Meeting held on the 12 December 2022 were received and approved;• The Audit & Risk Committee Annual Cycle of Business for 2023 was received and approved. Members noted that it was likely that the timetable for the Audit of Financial Accounts and the Annual Report for 2022-2023 would now need to be undertaken during July and not June as stated within the Annual Cycle of Business;• The Audit & Risk Committee Forward Work Programme was received and noted;• The Annual Report Timetable 2022-2023 was received and noted;• The Minutes of the meeting held on 12 December 2022 were received and approved.
APPENDICES	NOT APPLICABLE

4. RECCOMENDATION

4.1 The Board is requested to **NOTE** the report.