



AGENDA ITEM

5.2

CTM BOARD

BOARD ASSURANCE FRAMEWORK REPORT

Date of meeting

28/07/2022

FOI Status

Open/Public

If closed please indicate reason

Not Applicable

Prepared by

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Presented by

George Galletly, Director of Corporate Governance

Approving Executive Sponsor

Director of Corporate Governance / Board Secretary

Report purpose

FOR APPROVAL

Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)

Committee/Group/Individuals

Date

Outcome

Strategic Risk Owner updates

June / July
2022

Reviewed and signed Off

Strategic Leadership Group

20th July 2022

Management sign off received.

ACRONYMS

BAF

Board Assurance Framework

1. SITUATION/BACKGROUND

- 1.1 It is good practice for the Health Board to have a Board Assurance Framework (BAF) that clearly sets out the risks, actions and relevant sources of internal and external assurances to provide a clear picture of the 'health' of the organisation and the high level risks threatening delivery of the Board's strategic goals. The concept for the revised BAF was approved at the Board on the 31st March 2022.

2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

- 2.1 The BAF has been developed to ensure it appropriately reflects;
- the four new strategic goals of the Health Board;
 - assurance reporting that supports a streamlined and effective committee and reporting structure;
 - a robust mechanism that reaches into each of the localities and central functions to provide assurance on performance, quality and resources across the breadth of the integrated Health Board;
 - international best practice; and
 - the management of board meetings and agendas to be focussed equally on Oversight, Insight and Foresight i.e. balancing the governance of immediate operational priorities with the need to focus on long-term strategic planning.
- 2.2 As agreed at the Health Board meeting in July 2022, the Organisational Risk Register will no longer be received formally by the Board. The Organisational Risk Register will continue to be received in its entirety by the Audit & Risk Committee and the assigned risks to the other Board Committees as appropriate.
- 2.3 The latest Organisational Risk Register will be uploaded to the meeting date "document folder" in Admincontrol so although not published it is fully accessible to Board Members should they wish to view the detail behind the linked risks noted in the BAF.

3. KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

- 3.1 During June and July 2022 the Strategic Risk Owners have robustly reviewed and updated the BAF to ensure it robustly reflects the latest position.
- 3.2 Please refer to Appendix 1 which outlines the key risks for discussion and review. Amendments have been highlighted in red.
- 3.3 The Board are asked to endorse the following significant changes:
Risk Score:

- Strategic Risk 9 - Fulfilling our Environmental and Social Duties and ambitions (Risk No.9) – reduced from a risk score of 16 to a 12.
- Strategic Risk 8 – Culture, Values and Behaviours – reduced from a risk score of 16 to a 12.

Risk Articulation:

- Strategic Risk 6 - Delivery of a digital and information infrastructure to support organisational transformation. The articulation of this risk has undergone a significant review this period in terms of how the risk is described and its assurance measures.

4. IMPACT ASSESSMENT

Quality/Safety/Patient Experience implications	Yes (Please see detail below) The BAF will provide a robust mechanism that reaches into each of the localities and central functions to provide assurance on performance, quality and resources across the breadth of the integrated Health Board; international best practice; and
Related Health and Care standard(s)	Governance, Leadership and Accountability If more than one Healthcare Standard applies please list below:
Equality Impact Assessment (EIA) completed - Please note EIAs are required for <u>all</u> new, changed or withdrawn policies and services.	No (Include further detail below) If no, please provide reasons why an EIA was not considered to be required in the box below. Not applicable
Legal implications / impact	There are no specific legal implications related to the activity outlined in this report.
Resource (Capital/Revenue £/Workforce) implications / Impact	There is no direct impact on resources as a result of the activity outlined in this report.
Link to Strategic Goals	Improving Care

5. RECOMMENDATION

- 5.1 The Board is asked to **Approve:**
- The updates to the Board Assurance Framework Report for July.
 - The changes in risk score highlighted in section 3.3