



AGENDA ITEM

2.2.6

CTM BOARD

HIGHLIGHT REPORT FROM THE AUDIT & RISK COMMITTEE

DATE OF MEETING

25 March 2021

PUBLIC OR PRIVATE REPORT

Public

**IF PRIVATE PLEASE
INDICATE REASON**

Not Applicable - Public Report

PREPARED BY

Emma Walters, Corporate Governance
Manager

PRESENTED BY

Ian Wells, Independent Member (Interim
Audit Committee Chair)

**EXECUTIVE SPONSOR
APPROVED**

Georgina Galletly, Director of Corporate
Governance

REPORT PURPOSE

FOR NOTING

ACRONYMS

WHSSC | Welsh Health Specialised Services Committee

EASC | Emergency Ambulance Services Committee

1. PURPOSE

- 1.1 This report had been prepared to provide the Board with details of the key issues considered by the Audit & Risk Committee at its meeting on 8 February 2021.
- 1.2 Key highlights from the meeting are reported in section 2.
- 1.3 The Board is requested to **NOTE** the report.



2. HIGHLIGHT REPORT

ALERT / ESCALATE	Nil
ADVISE	<ul style="list-style-type: none">• A report was received on Clinical Audit where concerns were raised by Members in relation to the issues being experienced with Clinical Coding. Members requested that the Executive Team considers the immediate issues in relation to addressing the coding backlog.• Members expressed concern in relation to the Limited Assurance Internal Audit Review in relation to CAMHS Management Arrangements. However, the Committee welcomed the Management Response which was considered to be proactive and coherent;• Members expressed their disappointment at receiving a Limited Assurance Internal Audit Follow Up Review in relation to Data Quality – Patient Pathway Appointment Management. The Committee recognised that the pressures of Covid had impacted the ability to take this forward;• Members received the Internal Audit Progress report and noted that a number of reviews planned for 2020/2021 would now be undertaken in 2021/2022. The risk based plan for 2021/2022 would be received at the April meeting;• Members expressed their disappointment in receiving a Limited Assurance Draft Internal Audit Report in relation to IT Service Management. Members requested that the report was shared with the Digital & Data Committee for ongoing monitoring of the plan once the management response had been received;• The Committee received the Internal Audit Baseline Review into IT Assessment. Concerns were raised in relation to the management response and Members requested that the report was shared with the Digital & Data Committee so that assurance could be sought from the Executive Lead in relation to the robustness of the management response;• The Committee received the Audit Tracker and noted that a series of meetings would be held with Executive Leads to discuss the remaining outstanding recommendations. Separate progress reports were received in relation to Consultant Job Planning and Medical and Dental

	<p>Rostering where it was noted that progress had been impacted by Covid-19 pressures;</p> <ul style="list-style-type: none"> • The Committee received the Local Counter Fraud update and noted that consideration was being given as to how to improve the low response rate in relation to the Staff Survey. The Committee were assured that issues in relation to obtaining information from Payroll Services appeared to be related to one case; • The Committee received a Closed Audit Wales report in relation to Cyber Resilience in the Public Sector. The Committee requested that the report was shared with the Digital & Data Committee to help inform future planning.
ASSURE	<ul style="list-style-type: none"> • Members received the Internal Audit Review into Risk Management and welcomed the progress that had been made in this area and the way in which the new approach to risk had been adopted across the organisation; • The Committee welcomed the Audit Wales Report: Doing it Differently, Doing it Right – Governance in the NHS During the Covid 19 Crisis and welcomed the innovation being undertaken; • Members received an update report on Welsh Risk Pool Reimbursements/Claims Management Processes and were provided with assurance that processes and systems were now in place to prevent issues from reoccurring; • Members received the Organisational Risk Register and welcomed the progress that had been made to date; • The WHSSC Corporate Risk Assurance Framework and Risk Register was received in Part 2 of the meeting. The Committee welcomed the progress that had been made in relation to the risks relating to Ty Lliardiard capacity and Neonatal Transport; • A verbal update was provided during Part 2 of the meeting in relation to the EASC Risk Register. The Committee noted the recent improvement in ambulance handover delays.
INFORM	<ul style="list-style-type: none"> • The Committee received the following reports for noting/approving: <ul style="list-style-type: none"> ○ Committee Annual Cycle of Business ○ Procurements and Scheme of Delegation Report (including Revised Financial Control Procedures) ○ End of Year Reporting Arrangements ○ Action Log ○ Committee Forward Work Programme



	<ul style="list-style-type: none">○ Declarations of Interest Register and Gifts and Hospitality Report○ Losses and Special Payments Report○ Internal Audit Review – CAMHS Compliance Arrangements○ Audit Wales Progress Report○ Audit Wales Annual Audit Plan 2021○ Verbal update on Balance Sheet Planning○ Outcome from the Committee Effectiveness Survey○ WHSSC Review of Financial Control Procedures○ WHSSC Update report on Independent Hospitals○ Internal Audit Review – WHSSC Financial Systems
APPENDICES	NOT APPLICABLE