

## The next steps in the Risk Management Journey

	TASK	TIMELINE	STATUS UPDATE
<b>STEP 1</b> <b>HEALTH BOARD'S</b> <b>APPROACH TO RISK</b> <b>MANAGEMENT</b>	1. Confirm that one systematic approach to risk management is required i.e. move away from directorate DATIX risk register and corporate excel risk register with a view to integrated Datix-based register	January 2020  <b>COMPLETED IN JUNE 2020</b>	1. The Principle has been agreed with the Executive Team – <b>COMPLETE</b> . 2. DELAYED DUE TO COVID-19. The corporate risk register still needs transfer to DATIX. <b>UPDATE JULY 2020 - COMPLETE - all organisational risks now added to the Datix system. Further structure alignment required within the system.</b>
	2. Write and ask that the Service General Managers ensure Directorate risk registers are up to date, with risks articulated with; IF (this happens)THEN (impact will be).....	January 2020 <b>COMPLETED</b>	<b>COMPLETE</b> - Email circulated on the 31.01.2020
	3. Draft Risk Management Strategy and Board Assurance Framework to go to MB.	February 2020 <b>COMPLETED</b>	<b>COMPLETE</b> - Approval received at Health Board meeting in March 2020.
	4. Arrange a facilitated workshop with the ILG Directors and Service General Managers and H&S Lead to: <ul style="list-style-type: none"> <li>Review the draft Risk Management Strategy and BAF</li> <li>Discuss/confirm proposed process to include triggers and hierarchy, how risks get into the corporate risk register and principle risks onto the BAF (informed by the IMTP)</li> <li>The role of Management Board in owning the corporate risk register</li> <li>The role of Board in overseeing the principle risks and BAF</li> <li>Review risk scores on existing Directorate risk registers and reconcile to the current risk register</li> </ul>	March 2020 <b>Revised Date:</b> July 2020  <b>COMPLETED IN JUNE 2020</b>	<b>DELAYED DUE TO COVID19</b> Process reviewed and discussed at Management Board on 20.02.20 with ILG representation. <b>Update May 2020:</b> Corporate Risk Lead to meet with ILG's in June to understand their requirements to safely manager risk in accordance with the Revised Risk Management Strategy & the new Operating Model. <b>UPDATE JULY 2020 -COMPLETE – workshop held on the 15<sup>th</sup> June 2020. Further sessions being rolled out as required.</b>
	5. Transfer Datix Risk Management System and associated resources to Director of Governance to support integrated reporting of risk.	July 2020	<b>New Action</b> – transition will take place during July / August 2020.
	6. Risk Management training to be provided to ILGs/Directorates to cover: <ul style="list-style-type: none"> <li>The basics of risk management</li> <li>The process for escalating risk</li> <li>The triggers for escalating risk</li> <li>How risk will be discussed and reviewed at the ILGs/Management Board</li> </ul>	March 2020 <b>Revised Date:</b> July 2020 <b>COMPLETED IN JUNE 2020</b>	<b>ORIGINAL DATE DELAYED DUE TO COVID19</b> <b>COMPLETE 15<sup>th</sup> June</b>  <b>UPDATE JULY 2020 - COMPLETE – workshop held on the 15<sup>th</sup> June 2020. Further sessions being rolled out as required.</b>
	7. Introduce new risk management strategy and process – New Operating Model. <ul style="list-style-type: none"> <li>Datix to be used for Directorates, ILG risk registers to inform corporate risk register</li> <li>New corporate risk register in use (via Datix)</li> </ul>	May 2020 <b>Revised Date:</b> July 2020  <b>COMPLETED IN JUNE 2020</b>	<b>DELAYED DUE TO COVID-19</b> <b>Update May 2020</b> Process may developed that will be shared with ILG's outlining the process through the new strategy and operating model. Corporate Risks are in the process of being uploaded to datix. The next stage is review and train Management Board and Executive Leads in their role. <b>UPDATE JULY 2020 - COMPLETE – workshop held on the 15<sup>th</sup> June 2020 and ILG's added to Datix to use going forward. Organisational Risk Register is on Datix.</b>
<b>STEP 2</b> <b>Understanding the Risk</b> <b>Domains and Principle</b> <b>Risks that may impact the</b> <b>Strategic Objectives</b>	8. CTMUHB objectives to be confirmed via the IMTP 2020-23 process	March 2020 <b>COMPLETED</b>	<b>COMPLETE</b> - Approved at March 2020 Health Board Meeting.
	9. Principle risks in achieving the IMTP 2020-23 to be tested for inclusion in the BAF.	April 2020 <b>Revised Date:</b> July 2020 <b>COMPLETED IN AUGUST 2020</b>	<b>DELAYED DUE TO COVID19</b> Tested/approved at Management Board 15.04.20. <b>UPDATE JULY 2020 - COMPLETE – scheduled for consideration at the July Management Board.</b>
<b>STEP 3</b> <b>DEFINING THE HEALTH</b> <b>BOARD'S RISK APPETITE</b> <b>AND TOLERANCE LEVELS</b>	10. Workshop on agreeing Board risk appetite	April 2020 <b>Revised Date:</b> August 2020 <b>COMPLETED IN SEPTEMBER 2020</b>	<b>DELAYED DUE TO COVID19</b> Rearranged from April 2020 to August 2020. <b>UPDATE SEPTEMBER 2020 - COMPLETE – workshop held and actions agreed that will now be taken forward by the Assistant Director of Governance &amp; Risk</b>

## The next steps in the Risk Management Journey

11. Agree principle risks to include in the BAF at Board, with controls, assurance and gaps identified.	May 2020 <b>Revised Date</b> August 2020 <b>COMPLETED IN SEPTEMBER 2020</b>	<b>DELAYED DUE TO COVID19</b> Delayed due to impact on other milestones as a result of Covid-19. To be addressed in Board Development session planned for August 2020. <b>UPDATE SEPTEMBER 2020 - COMPLETE</b> – workshop held and principal risk approach and proposals supported. Further actions agreed that will now be taken forward by the Assistant Director of Governance & Risk which will include the further work on the BAF.
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